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November 21, 2001

Honorable Commissioner of Patents and Trademarks Washington, D.C. 20231

Re: U.S. Patent Application

Writer's Direct Dial: 703-386-6119

Serial No.: 09/938,292 Filed: August 24, 2001

For: Reversible Child Resistant Closure

Inventors: David Miceli, et al. Attorney Docket No.: 0152-0019

Sir:

The following documents are forwarded herewith for appropriate action by the U.S. Patent and Trademark Office:

1. Response to Notice to File Missing Parts of Application;

2. Copy of Notice to File Missing Parts of Nonprovisional Application;

- 3. Executed Combined Declaration and Power of Attorney for Patent Application;
- 4. Three (3) Substitute Drawings;
- 5. Preliminary Amendment;
- 6. Appendix A: Marked-up Version to Show Amendments made to Abstract;
- 7. Check in the amount of \$840.00 (\$710.00-filing fee; \$130.00-surcharge); and
- 10. One (1) return postcard

It is respectfully requested that the attached postcard be stamped with the date of filing of these documents, and that it be returned to our courier.

Respectfully submitted,

SHANKS & HERBERT

Shelly Guest Cermak Reg. No. 39,571

SGC/CEA/bdc Encls.





COMMISSIONER FOR PATENTS UNITED STATES PATENT AND TRADEMARK OFFICE WASHINGTON, D.C. 20231

ATTORNEY DOCKET NUMBER FIRST NAMED APPLICANT FILING/RECEIPT DATE APPLICATION NUMBER 0152-0019P David Miceli 08/24/2001 09/938,292

CONFIRMATION NO. 1029

Shanks & Herbert TransPotomac Plaza Suite 306 1033 N. Fairfax Street

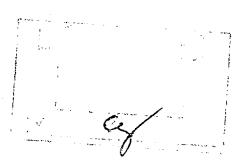
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FORMALITIES LETTER OC000000006799081*

Date Mailed: 09/27/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b) 11/23/301 NROCHA1 00000027 500622 09938292 01 FC:1世 02 FC:1世 740.00 OP Filing Date Granted

100.00 DP

An application number and filing date have been accorded to this application. The item(s) indicated below, Mowever, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by 間ling a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

• The statutory basic filing fee is missing. Applicant must submit \$ 710 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).

 The oath or declaration is missing. A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.

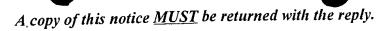
 To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(I) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

The balance due by applicant is \$ 840.

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
 - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);



Customer Service Center
Initial Patent Examination Division (703) 308-1202
PART 2 - COPY TO BE RETURNED WITH RESPONSE

NOV 2 1 2001 IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

MAN Application of:

David Miceli, et al.

Serial No. 09/938,292

Filed: August 24, 2001

For: Reversible Child Resistant Closure

Art Unit: To be assigned

Examiner: To be assigned

Atty. Docket: 0152-0019

RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION

Assistant Commissioner of Patents Washington, D.C. 20231

Attention: Manager, Application Branch

Sir:

In response to the communication of September 27, 2001, Applicants submit a Declaration/Power of Attorney for filing in the above-identified application, Substitute drawings, a copy of the Notice of Missing Parts and the required fee of \$840.00.

Please associate the enclosed declaration with the above-identified application.

If there are any other fees due in connection with the filing of this response, please charge the fees to our Deposit Account No. 50-0622. If a fee is required

for an extension of time under 37 C.F.R. § 1.136 not accounted for above, such an extension is requested and the fee should also be charged to our Deposit Account.

Respectfully submitted,

SHANKS & HERBERT

Bv:

Shelly Guest Cermak Reg. No. 39,571

Date: 11-21-01

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